



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

#3

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** **(\\$)** **555.00**

## Complete if Known

Application Number	09/817,779
Filing Date	March 26, 2001
First Named Inventor	Ricky T. Holder
Examiner Name	Not known
Group Art Unit	3628
Attorney Docket No.	AAM-3

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **04-1403**

Deposit Account Name **Dority & Manning, Attorneys at Law, P.A.**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  **Payment Enclosed:**

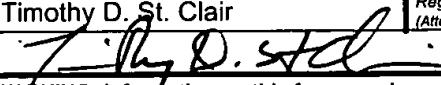
Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	\$65.00
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,240	241	620 Petition to revive - unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3) <b>(\\$)</b> 65.00</b>

\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete if applicable			
Name (Print/Type)	Signature	Registration No. (Attorney/Agent)	P48,316	Telephone	864-271-1592
Timothy D. St. Clair				Date	06/26/2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**EXPRESS MAIL CERTIFICATE OF MAILING**

In re Application of: Ricky T. Holder

Entitled: Temporary Sign System

USSN: 09/817,779

Date: March 26, 2001

Attorney Docket No.: AAM-3

“Express Mail” – Mailing Label Number: EL833915136US

Date of Deposit: June 26, 2001

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box IDS, U.S. Patent and Trademark Office, Washington, D.C. 20231.

Darlene G. Parker  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,779	03/26/2001	Ricky T. Holder	AAM-3

CONFIRMATION NO. 7814

## FORMALITIES LETTER



'OC000000006044926'

Richard M. Moose  
 Dority & Manning,  
 Attorneys at Law, P.A.  
 P.O. Box 1449  
 Greenville, SC 29602

Date Mailed: 05/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$135.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 555.

07/02/2001 WABRKA11 00000090 09817779

01 FC:201

02 FC:204

355.00 0P

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

205

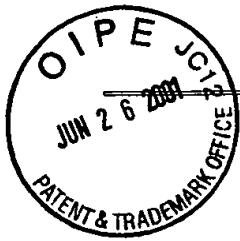
The required item(s) identified below must be timely submitted to avoid abandonment.

**RECEIVED**  
 MAY 14 2001

- Substitute drawings in compliance with 37 CFR 1.84 because:

**DORITY AND MANNING**

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



*A copy of this notice **MUST** be returned with the reply.*

H.T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



5-#3  
1-1402

PATENT

ATTORNEY DOCKET NO.: AAM-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of ) Examiner: Not known  
Holder, et al. )  
 )  
Serial No.: 09/817,779 ) Examining Group Art Unit: 3628  
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 )  
Filed: March 26, 2001 )  
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Title: Temporary Sign System )  
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SUBMISSION OF SUBSTITUTE DRAWINGS

Assistant Commissioner for Patents  
Box Non-Fee Amendment  
Washington, D.C. 20231

Sir:

Pursuant to 7 CFR § 1.85, and in response to the attached May 7, 2001 Notice, Applicant  
herewith submits substitute Figures 1, 2, 3, 4, 5, and 6.

Respectfully submitted,

DORITY & MANNING, P.A.

DATE: June 26, 2001

BY: T. D. St. Clair

Timothy D. St. Clair  
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